



UNITED STATES PATENT AND TRADEMARK OFFICE

4

Applicant: VITERI, Fermin et al.

Serial No.: 09/855,224

Filed: May 14, 2001

For: Working Fluid Compositions for Use in
Semi-Closed Brayton Cycle Gas Turbine
Power Systems

Art Unit: 3746

Examiner:

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT


In accordance with the requirements of 37 C.F.R. §§1.97 and 1.98, the undersigned requests that the information contained herein be considered by the Office. Inclusion of these references in this submission shall not be construed as an admission that these references qualify as prior art or are considered relevant to the patentability of the above-identified invention.

REMARKS

The information to be considered includes: a form PTO-1449 listing references and a copy of the listed references, in accordance with 37 C.F.R. §1.98.

This document is filed before a first Office action on the merits of this case, and hence should be considered as timely filed. Should the Examiner have any questions upon his review of the above information, the undersigned requests that the Examiner contact the undersigned by phone.

Respectfully Submitted:



Bradley P. Heisler
Applicants' Attorney
Telephone (916) 781-6634
Registration No.: 35,892

1-11-2002
Date

3746



Please type a plus sign (+) inside this box ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/855,224	
	Filing Date	May 14, 2001	
	First Named Inventor	VITERI, Fermin	
	Group Art Unit	3746	
	Examiner Name		
Total Number of Pages in This Submission	16	Attorney Docket Number	0076.001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	return postcard
<input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bradley P. Heisler
Signature	
Date	1-11-02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date 01/11/2002			
Typed or printed name	Carmen E. McCarty		
Signature	Carmen McCarty	Date	January 11, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
FEB 05 2002
TC 1708